Art Unit: 1763

Examiner: Kacker, Ram N.

on the date indicated below to: Mail Stop RCF

Alexandria, VA 22313-1450, on

Commissioner for Patents P.O. Box 1450

anuary 25, 2007 Date of Deposit uanita Soberania

I becely certify that this correspondence is being transmitted via electronic filing

Dete

Appl. No. 09/879,934 Amdt. dated January 25, 2007 Reply to Office Action of July 26, 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Vagumi SAGO et al Serial No: 09/879 934

Confirmation No. 4444 Filed: June 14, 2001

Electro-Static Chucking Mechanism For: and Surface Processing Apparatus

## TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Dear Sirs:

- The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under \$1.114, whichever event occurs last. 37 C.F.R. \$1.97(b).
- The information disclosure statement transmitted herewith is being filed after the period specified in \$1.97(b), but before the mailing date of a final action under \$1.113, or a notice of allowance under \$1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in \$1.97(e) or a fee set forth in \$1.17(n) is included, 37 C.F.R. \$1.97(c).

#### \$1.97(e) STATEMENT

I, the person signing below, state:

that each item of information contained in the information disclosure statemen
was first cited in the attached communication from a foreign patent office in a
counterpart foreign application and that the communication is dated not more
than three months prior to the filing of the statement. 37 C.F.R. §1.97(c)(1).

# OR

		was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable injurity, no item of information ontained in the information ontised constrained in the information disclosure statement was known to any individual designated in \$1.56() more than three months prior to the filing of the statement. 87 C.F.R. \$1.97(e)(2).
		OR FEE
		☐ attached is a fee set forth in 37 C.P.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (§130.00), [ORE] Please charge the fee set forth in 37 C.P.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (§130.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3.		The information disclosure statement transmitted herewith is being filed ofter the period specified in §1.97(c), but before, or simultaneously with the payment of the issue file. A statement specified in §1.97(c) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).
		§1.97(e) STATEMENT
		I, the person signing below, state:
		that each item of information contained in the information disclosure statement     was first cited in the attached communication from a foreign patent office in a     counterpart foreign application and that the communication is dated not more     than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
		OR
		that no item of information contained in the information disclosure statement     was cited in a communication from a foreign patent office in a counterpart     foreign application, and, to the knowledge of the person signing the certification     after making reasonable inquiry, no item of information contained in the     information disclosure statement was known to any individual designated in     §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R.     §1.370(c)2.
		AND FEE
		Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(a). (§180.00).
4.	⊠	If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314.

A list of 1 reference(s) is in the enclosed Form PTO-1449.

# NON-ENGLISH LANGUAGE REFERENCES | Enclosed is a search report for a counterpart application. The search report Examiner

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☐ The specification incorporates comments on the relevancy of Non-English language references.

 $\square$  Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

Respectfully submitted, HOGAN & HARTSON L.L.P.

Date: January 25, 2007 1999 Avenue of the Stars, Suite 1400 Los Angeles, California 90067 Telephone: 310-785-4600 Facsimile: 310-785-4601

Registration No. 44,228 Attorney for Applicant(s)

Docket Number (Optional) 83393.0008 09/879.934 INFORMATION DISCLOSURE CITATION Applicant Yasumi SAGO IN AN APPLICATION (Use several sheets if necessary) Filing Date Group Art Unit June 14, 2001 1783 U.S. PATENT DOCUMENTS EXAMINER FILING DATE IF DOCUMENT NUMBER DATE NAME CLASS SUBCLASS INITIAL APPROPRIATE

FORM PTO-1449

VALUE - DESIGNATION - STORY VI

Customer No. 26021

Application Number

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FORFIG	N PATE	NT DOC	LIMENTS	

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
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	OTHER DOCUMEN	ITS (including A	Author, Title, Date, Pert	inent Pages	. Etc.)		

Dennis M. Manos et al.; "Plasma Etching"; 1989; Academic Press Inc.; pp. 358-359

FXAMINER DATE CONSIDERED

EXAMINER: Initial if citation considered, whathar or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.